

## Incoming Rollover Form

- Complete this form to initiate a direct rollover of assets from a different qualified 529 plan or Education Savings Account (ESA) to your current Stable Value Plus account. Important: If you haven't established a Stable Value Plus account, you can enroll immediately online at [www.collegeinvest.org](http://www.collegeinvest.org), or download, complete and enclose an Enrollment Application with this form.
- Or you can call us to order any form at 1-(800) 448-2424 Monday through Friday from 8 a.m. to 5 p.m., Mountain time. Return this form and any other required documents to: CollegeInvest Stable Value Plus, 1600 Broadway, Suite 2300, Denver, CO 80202.
- Important: Please contact the financial institution currently holding the assets to verify the correct mailing address, determine the need for a signature guarantee, and find out whether it has any additional requirements for rolling over these assets. Print clearly, preferably in capital letters and black ink.

### **1 Type of Rollover** (Check one.)

Transfer from an out-of-state 529 college savings plan to your Stable Value Plus account. Note: This option allows one rollover in a 12-month period.

Transfer from an Education Savings Account (ESA) to your Stable Value Plus account.

Transfer from a CollegeInvest Direct Portfolio, Scholars Choice or Smart Choice 529 Plan to your Stable Value Plus account. Note: This option is considered one of two permitted investment changes per calendar year.

### **2 TRANSFER TO Your CollegeInvest Stable Value Plus Account**

Account Number (Don't have an account? You can open an account at [www.collegeinvest.org](http://www.collegeinvest.org) to get your new account number for this form.)

Name of Account Owner (first, middle initial, last)

  

Primary Phone Number

Name of Beneficiary (first, middle initial, last)

Last Four Digits of Beneficiary's Social Security Number or Individual Taxpayer ID Number

### **3 TRANSFER FROM the Current 529 Plan Manager or Education Savings Account (ESA) Custodian**

The 529 plan or ESA from which you are moving assets must have the same account owner name and Social Security number or individual taxpayer ID number as your Stable Value Plus account. Please contact your current 529 plan manager or ESA custodian for the proper mailing address.

Name of Financial Institution (where your current 529 account or ESA is held)

Full Name of 529 Plan (If assets are from an ESA, leave blank.)

Mailing Address of Current Plan Manager or Custodian (the financial institution)




City

State

Zip




Contact Person (if applicable)

Phone Number

Check this box if the **beneficiary** on this account is **different from** the beneficiary indicated in **Section 2**.

#### **4 Instructions to Current 529 Plan or Education Savings Account (ESA) Custodian**

The assets described below must all be held by the financial institution indicated in Section 3. If you're moving assets from more than one institution, fill out a separate form for each. Your rollover proceeds will be invested according to the allocation instructions on file for your account at the time the assets are received. Rollovers won't be available for withdrawal for ten business days.

Complete either A or B.

##### **A- Roll over all of the assets in my current account to my Stable Value Plus account.**

Account Number of current 529 Plan

 Estimated Account Value \$

##### **B- Roll over a portion of the assets in my current account to my Stable Value Plus account.**

Account Number of current 529 Plan

 Dollar Amount \$

#### **5 Signature—YOU MUST SIGN BELOW**

If your current 529 plan manager or education savings account (ESA) custodian requires a signature guarantee, do not sign below until you're in the presence of the authorized officer of a bank, broker, or other qualified financial institution. A notary public cannot provide a signature guarantee, nor can you guarantee your own signature.

I certify that I have read the CollegeInvest Stable Value Plus Savings Plan Disclosure Statement and understand the rules and regulations governing direct rollovers from other 529 plans and ESAs. I understand that IRS regulations permit only one such rollover for the same beneficiary in a 12-month period. I understand that transferring assets from another Colorado plan for the same beneficiary will be treated as a change of investment options, which is allowable tax-free only twice per calendar year.

Signature of Account Owner (If the account owner is a minor, the designated parent or guardian must sign.)

  

Date (month, day, year)

#### **Signature Guarantee—IF APPLICABLE**

Check with your current 529 plan manager or ESA custodian to determine if a signature guarantee—that is, certification that your signature is genuine—is required below to process this rollover. The lack of a required signature guarantee could delay this rollover.

Signature of Guarantor

Title/Name of Institution

  

Date—(month, day, year)

Authorized Officer to Place Stamp Here

#### **6 Authorization and Acceptance** (CollegeInvest will complete this section.)

The CollegeInvest Stable Value Plus College Savings Plan hereby agrees to accept the rollover described herein and, upon receipt, will deposit the proceeds into the account established on behalf of the individual named herein.

Authorized Signature, CollegeInvest Stable Value Plus Plan



#### **Instructions to Custodian**

Send redemption proceeds by check to: **CollegeInvest Stable Value Plus College Savings Plan, 1600 Broadway, Suite 2300, Denver, CO 80202**. Make the check payable to **CollegeInvest Stable Value Plus**. Include the Stable Value Plus account number on the check and enclose a statement detailing a breakdown of contributions and earnings.